### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kerstin Ehlert, et al.

International Application No.: PCT/EP04/08077

U.S Serial No.: 10/567,279

Filed: 02/02/2006

Title: Screening Assay for Anti-bacterial Compounds

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# SUBMISSION OF SEQUENCE LISTING, COMPUTER READABLE COPY FOR BIOTECHNOLOGY INVENTION CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE

#### Dear Sir/Madam:

Submitted herewith with this response to Notification of Missing Requirements is a:

- Sequence Listing for the nucleotide and/or amino acid sequence(s) in this application. Each Sequence
  Listing is assigned a separate identifier as required in 37 C.F.R. §1.821(c) and 37 C.F.R. §§1.822 and
  1.823.
- 2. A copy of each Sequence Listing submitted for this application in computer readable form, in accordance with the requirements of 37 C.F.R. §§1.821(e) and 1.824.
- 3. The computer readable form and paper copy submitted herewith are the same, and introduce no new matter over what is disclosed in the application (37 C.F.R. 1.821(g)).

Respectfully submitted,

Reg. No.: 29,862

Tel. No.: (203) 812-2786

Date: 4/16/07

Attorney for Applicant(s)

**Bayer Pharmaceuticals Corporation** 

400 Morgan Lane

West Haven, CT 06516/

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kerstin Ehlert et al.

Serial No.: 10/567,279

Filed: 02/02/2006

For: Screening Assay for Anti-bacterial Compounds

## Certificate of Mailing under 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

- Response to Notification of Missing Requirements under 35 U.S.C. 371 with Petition for Extension of Time [IN DUPLICATE];
- Fee Transmittal Letter [IN DUPLICATE];
- Original Declaration or Oath and Fee (37 C.F.R. 1.492(e))
- Copy of the Notification of Missing Requirements under 35 U.S.C. 371
- Submission Letter of Sequence Listing;
- Copy of Sequence Listing in Computer Readable form (CRF) and paper copy;
- Preliminary Amendment; and
- Return Receipt Postcard

is, on the date shown below, being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Mariellen C. Chapdelaine

Patent Paralegal

Date: 4/16/07

**Bayer Pharmaceuticals Corporation** 400 Morgan Lane West Haven, CT 06516-4175

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OCT 2 0 2006



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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. Kerstin Ehlert LeA36810

10/567,279

INTERNATIONAL APPLICATION NO.

PCT/EP04/08077

I.A. FILING DATE

07/30/2004

PRIORITY DATE 08/02/2003

35969 JEFFREY M. GREENMAN BAYER PHARMACEUTICALS CORPORATION **400 MORGAN LANE** WEST HAVEN, CT 06516

**CONFIRMATION NO. 9770 371 FORMALITIES LETTER** 

\*OC000000020843100\*

Date Mailed: 10/16/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/03/2006
- Copy of the International Search Report filed on 02/03/2006
- Information Disclosure Statements filed on 02/03/2006
- Biochemical Sequence Listing filed on 02/03/2006
- Request for Immediate Examination filed on 02/03/2006
- U.S. Basic National Fees filed on 02/03/2006
- Priority Documents filed on 02/03/2006
- Specification filed on 02/03/2006
- Claims filed on 02/03/2006
- Abstracts filed on 02/03/2006
- Drawings filed on 02/03/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$490 for a Large Entity:

- \$130 Surcharge.
  - This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- Total additional claim fee(s) for this application is \$ 360
  - \$360 for multiple dependent claim surchare.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

# PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/567,279                  | PCT/EP04/08077                | LeA36810         |

FORM PCT/DO/EO/905 (371 Formalities Notice)

IAP6 Rec'd PCT/PTO 19 APR 2007

|  | 1 9 APR 2007 PTO-1390 (Rev. 12-2004)  tent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction of information unless it displays a valid OMB control number. |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES  | ATTTORNEY'S DOCKET NUMBER  Le A 36 810   |  |  |  |  |  |  |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/567,279   |  |  |  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 30 July 2004   | PRIORITY DATE CLAIMED 02 August 2003   |  |  |  |  |  |  |  |  |
| Screening Assay for Anti-Bacterial Compounds   | 3  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Ehlert, et al.   |  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/E  | O/US) the following items and other information:   |  |  |  |  |  |  |  |  |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 37  | 1.   |  |  |  |  |  |  |  |  |
| 2. X This is a SECOND or SUBSEQUENT submission of items concerning a submission  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  |  |  |  |  |  |  |  |  |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |  |  |  |  |  |  |  |  |  |
| 4. The US has been elected (Article 31).   | The US has been elected (Article 31).  |  |  |  |  |  |  |  |  |
| 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |  |  |  |  |  |  |  |  |
| a. is attached hereto (required only if not communicated by the Internation  | a. is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |  |
| b. has been communicated by the International Bureau.  | b.  has been communicated by the International Bureau.   |  |  |  |  |  |  |  |  |
| c. is not required, as the application was filed in the United States Receivant  | c. is not required, as the application was filed in the United States Receiving Office (RO/US).  |  |  |  |  |  |  |  |  |
| 6. An English language translation of the International Application as filed (35 U.S.  | C. 371(c)(2)).   |  |  |  |  |  |  |  |  |
| a. L. is attached hereto.  | ·  |  |  |  |  |  |  |  |  |
| b. has been previously submitted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |  |  |
| 7. Amendments to the claims of the International Application under PCT Article 19  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |  |  |  |  |  |  |  |  |
| a. are attached hereto (required only if not communicated by the International Communicated Communica | a. are attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |  |  |  |  |
| b. have been communicated by the International Bureau.   | b. have been communicated by the International Bureau.   |  |  |  |  |  |  |  |  |
| c. have not been made; however, the time limit for making such amend   | c. have not been made; however, the time limit for making such amendments has NOT expired.   |  |  |  |  |  |  |  |  |
| d. Li have not been made and will not be made.   |  |  |  |  |  |  |  |  |  |
| 8. An English language translation of the amendments to the claims under PCT A   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |  |  |  |  |
| 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |  |  |  |  |  |  |  |  |
| An English language translation of the annexes of the International Preliminary Article 36 (35 U.S.C. 371(c)(5)).  | Examination Report under PCT   |  |  |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:  |  |  |  |  |  |  |  |  |  |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |  |  |  |  |  |  |  |  |  |
| 12. An assignment document for recording. A separate cover sheet in compliance w   | vith 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |  |  |  |
| 13. X A preliminary amendment.   | A preliminary amendment.   |  |  |  |  |  |  |  |  |
| 14. An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |  |  |  |  |  |
| 15. A substitute specification.  |  |  |  |  |  |  |  |  |  |
| 16. A power of attorney and/or change of address letter.   | A power of attorney and/or change of address letter.   |  |  |  |  |  |  |  |  |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule   | 13ter.2 and 37 CFR 1.821- 1.825.   |  |  |  |  |  |  |  |  |
| 18. A second copy of the published International Application under 35 U.S.C. 154(d   | )( <b>4</b> ).   |  |  |  |  |  |  |  |  |

- Other items or information: 1. Certificate of Mailing under 37 C.F.R. 1.8(a);
  - 2. Petition for Extension of Time; and

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

3. Return Receipt Postcard

19.

20. 🗶

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|            | U.S. APPLICAT  | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION |                                  | PLICATION NO.               | ATTORNEY'S DOCKET NUMBER |                        |           |  |  |
|------------|--|---|----------------------------------|-----------------------------|--------------------------|------------------------|-----------|--|--|
| <u> </u>   | 10/567,279   | D/567,279 PCT/EP04/08077  |                                  |                             |                          | Le A 36 810            |           |  |  |
|            | 21. The following  | ng fees are submitted:  |                                  |                             |                          |                        |           |  |  |
|            | a) Basic na  | ational fee   | \$300.00                         | \$                          |                          |                        |           |  |  |
| .          | b) Examina   | ation fee   | \$200.00                         | \$ .                        |                          |                        |           |  |  |
| :          | C) Search f  | ee  | \$500.00                         | \$                          |                          |                        |           |  |  |
| £          |  | TOTAL OF ABOVE CA   | \$1000.00                        | \$                          |                          |                        |           |  |  |
|            | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence   |   |                                  |                             |                          |                        |           |  |  |
|            | listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |   |                                  |                             |                          |                        |           |  |  |
|            | Total Sheets   | Extra sheets  |                                  |                             |                          |                        |           |  |  |
| ·          | - 100 =  | /50 =   |                                  |                             | x \$250.00               | \$                     |           |  |  |
|            |  | 30.00 for furnishing the odate (37 CFR 1.492(e)).                         | \$ 130.00                        |                             |                          |                        |           |  |  |
|            | CLAIMS   | NUMBER FI   | .ED                              | NUMBER EXTRA                | RATE                     | \$                     |           |  |  |
|            | Total claims   | 8   | - 20 =                           | 0                           | x \$50.00                | \$ 0                   |           |  |  |
| ·          | Independent clai   | ms 2  | -3=                              | 0                           | x \$200.00               | \$ 0                   |           |  |  |
|            | MULTIPLE DEPI  | ENDENT CLAIM(S) (if ap  | plicable)                        | 0                           | + \$360.00               | \$ 0                   |           |  |  |
| •          |  |   |                                  | TOTAL OF ABOVE              | CALCULATIONS =           | \$ 130.00              |           |  |  |
|            |  | ,   |                                  |                             |                          | \$ .                   |           |  |  |
|            |  | •   |                                  |                             | SUBTOTAL =               | \$ 130.00              |           |  |  |
|            | Processing fee o   | of \$130.00 for furnishing t  | he English tra                   | nslation later than 30 mont | hs from the earliest     |                        |           |  |  |
|            | claimed priority d   | date (37 CFR 1.492(f)).   | _                                |                             | +                        | \$                     |           |  |  |
|            |  |   |                                  | TOTAL                       | NATIONAL FEE =           | \$ 130.00              |           |  |  |
| •          | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |   |                                  |                             |                          |                        |           |  |  |
|            |  | ·   |                                  | TOTAL F                     | EES ENCLOSED =           | \$ 130.00              |           |  |  |
| 04/20/2007 | MKAYPAGH 00000   | 1107 122220   |                                  |                             |                          | Amount to be refunded: | \$        |  |  |
|            |  |   | '9                               |                             |                          | Amount to be charged:  | \$ 130.00 |  |  |
| 01 FC:1617 | 130.00   |   |                                  |                             |                          | Charged.               |           |  |  |
|            |  | <del></del>   |                                  | to cover the abo            |                          |                        |           |  |  |
|            | b. X Please<br>A dupli   | e charge my Deposit Acci  | ount No. <u>13-</u><br>enclosed. | 3372 in the amount of \$    | 130.00 to co             | ver the above fees.    |           |  |  |
|            | c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 13-3372 A duplicate copy of this sheet is enclosed.                         |   |                                  |                             |                          |                        |           |  |  |
|            | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |                                  |                             |                          |                        |           |  |  |
| -          | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |   |                                  |                             |                          |                        |           |  |  |
|            | SEND ALL COR   | RESPONDENCE TO:   | ustomer l                        | No. 35969                   | 1                        | 1 /1                   | . ,       |  |  |
|            | Jeffrey M. C   |   |                                  |                             | Dute                     | La A. Shi              | mei       |  |  |
|            | Bayer Pharmaceuticals Corporation  400 Morgan Lane  West Haven, CT 06516  Barbara A. Shimei  NAME  29,862  REGISTRATION NUMBER   |   |                                  |                             |                          |                        |           |  |  |
|            |  |   |                                  |                             |                          |                        |           |  |  |
|            |  |   |                                  |                             |                          |                        |           |  |  |
|            |  |   |                                  |                             |                          |                        |           |  |  |
|            |  |   |                                  |                             |                          |                        |           |  |  |